#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q94633

Eiichi KITAZONO, et al.

Appln. No.: 10/577,154

Group Art Unit: 4131

Confirmation No.: 1395

Examiner: Bahar Schmidtmann

Filed: April 26, 2006

For: HYALURONIC ACID COMPOUND, HYDROGEL THEREOF AND JOINT TREATING

**MATERIAL** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. §§ 1.97 and 1.98

U.S. Appln. No.: 10/577,154

Attorney Docket No.: Q94633

foreign patent office in a counterpart application citing such documents (Japanese Office Action

(Notification of Reasons for Refusal) dated October 14, 2009 for corresponding Japanese Patent

Application No. JP 2005-515059), together with an English-language version (if not already

included) of at least that portion of the Communication indicating the degree of relevance found

by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits English language Abstracts for

JP-A 2003-528131 (Abstract of corresponding WO 01/72283 A1) and JP-A 4-80201.

Additionally, in compliance with the concise explanation requirement under

37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that WO 01/72283 A1

corresponds to JP-A 2003-528131.

JP-A 6-72893 and JP-A 2000-212204 cited in the Japanese Office Action were

previously submitted in the Information Disclosure Statement filed April 26, 2006, and are

therefore not being resubmitted herewith.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 33,725

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 29, 2009

2

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# STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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